Form 210A (10/06)

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a) Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P. of the transfer, other than for security, of the claim referenced in this evidence and notice.

| Name of Transferee  | Name of Transferor   |
|---|--|
| Deutsche Bank AG, London Branch   | SPCP Group, LLC  |
| Name and Address where notices to transferee should be sent   |  |
| Deutsche Bank AG. London Branch<br>60 Wall Street<br>3 <sup>10</sup> Floor<br>New York, NY 10005<br>Attention Rich Vichaidith<br>Phone 212-250-5760<br>Fax 212-797-8770 | Court Claim # (if known). 19305<br>Amount of Claim; \$845,855.08<br>Date Claim Filed: 09/18/09<br>Phone. N/A |
| Last Four Digits of Acct #. N/A   | Last Four Digits of Acet. #: N/A   |
| Name and Address where transferee payments should be sent (if different from above)   |  |
| Phone 212-250-5760<br>Last Four Digits of Acct #: N/A   |  |
| I declare under penalty of perjury that the informat to the best of my knowledge and belief.  | ion provided in this notice is true and correct  |
| By<br>Transferee/Transferee's Agent   | Date. December 13, 2013  |

Sunalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §§ 152 & 3571

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#### EVIDENCE OF TRANSFER OF CLAIM

| () | t mited States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") |  |
|----|--|--|
|    | \tm: Clerk   |  |
|    |  |  |

AND TO Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) et al (Jointly Administered) (the "Case")

Proof of Chain Number 19305

SPCP GROUP, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto.

## DECISCHE BANK AG, LONDON BRANCH

car Poutsche Bank Securities. Inc 60 Wall Street New York, New York 10005 Aun Jeffrey Olinsky Lanut Jeffrey olinsky ardb com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 1930S, solely to the extent of SNTS x85 ON (the "Claim"), against Debtor in the Case in the Bankruptey Court, or any other court with jurisdiction over the bankruptey grossedimes of the Debtor.

Selfer believe waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptey of any and hers by waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3004 of the Selfer it Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptey rules or applicable law. Selfer acknowledges and a personal, and hereby supulates that an order of the Bankruptey Court may be entered without further notice to Selfer transferring to Bayer the Claim.

You are hereby directed to make all famire payments and distributions, and to give all notices and other communications, in respect of the Chain to Buyer.

vs. W118188 W11/R1 OF the undersigned has duty executed this Evidence of Transfer of Clium by its duty authorized representative December 13, 2013

## SPCP GROUP, LLC

| 13. | Name<br>Talk     | By<br>Mune.<br>Litle |
|-----|------------------|----------------------|
| Fi. | Name of the last |                      |

#### 08-13555-mg Doc 41542 Filed 12/16/13 Entered 12/16/13 10:00:01 Main Document Pq 3 of 6

#### EVIDENCE OF TRANSFER OF CLAIM

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") TO:

Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) et al (Jointly Administered) (the "Case")

Proof of Claim Number 19305

SPCP GROUP, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

### DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities, Inc. 60 Wall Street New York, New York 10005 Attn: Jeffrey Olinsky

Email: jeffrey.olinsky@db.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 19305, solely to the extent of \$845,855.08 (the "Claim"), against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated December 13, 2013

| DEU | JTSCHE BANK AG, LONDON BRANCH  | SPCP GROUP, LLC              |  |
|-----|--|------------------------------|--|
| By: |  | By:                          |  |
| •   | Name:<br>Title:  | Name: Title: Jennifer Poccia |  |
|     | Tioe.  | Authorized Signatory         |  |
|     |  |                              |  |
| By: | in in the second of the second |                              |  |
|     | Name:  |                              |  |
|     | Title:   |                              |  |

Form 210A (10/06)

## **United States Bankruptcy Court** Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a)

| Transferee hereby gives evidence and notice purs the transfer, other than for security, of the claim ref   | uant to Rule 3001(e)(2), Fed. R. Bankr. P., of   |
|--|--|
| Name of Transferee   | Name of Transferor   |
| Deutsche Bank AG, London Branch  | SPCP Group, LLC  |
| Name and Address where notices to transferee should be sent:   | •  |
| Deutsche Bank AG, London Branch<br>60 Wall Street<br>3'' Floor<br>New York NY 10005  | Court Claim # (if known): 19306<br>Amount of Claim: \$845,855.08<br>Date Claim Filed: 09/18/09 |
| Attention Rich Vichaidith Phone 212-250-5760 Fax 212-797-8770  | Phone N/A  |
| Last Four Digits of Acct #. N/A  | Last Four Digits of Acct. #: N/A   |
| Name and Address where transferee payments should be sent (if different from above):   |  |
| Phone. 212-250-5760<br>Last Four Digits of Acct #: N/A   |  |
| dectare under penalty of perjury that the informal to the best of my knowledge and belief.   | tion provided in this notice is true and correct   |
| By / Section of the Company of the C | Date December 13, 2013   |
|  | 10 15 P. 20 1 bak 40 15 P. 20 8 869 9 2624   |

Femalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571

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## EXHIBIT B

### EVIDENCE OF TRANSFER OF CLAIM

(c) United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
Atm. Clerk

1 Phinan Brothers Commodity Services Inc. (the "Debtor") Case No. (08-13555 (JMP) et al (Jointly Administered) (the "Case")

Proof of Claim Number 19306

SPCP GROUP, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto

## DEL ISCHE BANK AG, LONDON BRANCH

co Deutsche Bank Securities. Inc on Wall Street Secu York Sew York 10005 Am Jeffrey Olinsky Limit Jeffrey offinsky ødb com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 19306, solely to the extent of SX 18 858 08 ribe "Claim") (against Debtor in the Case in the Bankruptey Court, or any other court with jurisdiction over the bankruptes prograduars of the Debtor

which was considered the Debton and the Bankroptes of the Ukum to Buyer on the books and records of the Debton and the Bankroptes covar and needs was some one fullest extent permitted by law any notice of right to a hearing as may be imposed by Rule 3001 of the exercit Kines of Bankroptes Procedure, the Bankroptes Code, applicable local bankroptes rules or applicable law. Selber acknowledges stat orderstands and hereby stipulates that an order of the Bankroptes Court may be entered without further notice to Selber transferring to Bayer the Ukum and recognizing the Buyer as the sole owner and holder of the Claim.

3 ms we hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the 1-tion to Base:

EXAMINESS WHEREOF the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative duted. December 13, 2013

DEL (SCHEBANK AG, LONDON BRANCH

SPCP GROUP, LLC

Name of the American

\*- altis

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By: Name Litte

## 08-13555-mg Doc 41542 Filed 12/16/13 Entered 12/16/13 10:00:01 Main Document Pg 6 of 6

## EXHIBIT B

### EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
Attn: Clerk

AND TO: Lehman Broth

Lehman Brothers Commodity Services Inc. (the "Debtor")

Case No. 08-13555 (JMP) et al (Jointly Administered) (the "Case")

Proof of Claim Number 19306

SPCP GROUP, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

### DEUTSCHE BANK AG, LONDON BRANCH

e/o Deutsche Bank Securities, Inc. 60 Wall Street New York, New York 10005 Atm: Jeffrey Olinsky Email: jeffrey.olinsky@db.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 19306, solely to the extent of \$845.855.08 (the "Claim"), against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptey Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptey rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptey Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated December 13, 2013

| DEU | UTSCHE BANK AG, LONDON BRANCH | SPCP GROUP, LLC                             |  |  |
|-----|-------------------------------|---|--|--|
| By: |                               | By:   |  |  |
|     | Name:                         | Name:                                       |  |  |
|     | Title:                        | Title: Jennifer Poccia Authorized Signatory |  |  |
| By: |                               |   |  |  |
| -   | Name:                         |   |  |  |
|     | Title:                        |   |  |  |

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